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Docket No.

894.1.008

Filing Date: 11/11/2011

SEP 11 2006

**Examiner**

Customer No.

### Group Art Unit

**09/630,834**

08/02/2000

**Cristina O. Sherr**

3621

Invention: **ASSET MANAGEMENT ADVISORY SYSTEM AND RECORDING MEDIUM CONTAINING PROGRAM**

***(Identify type of correspondence)***

addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

**September 7, 2006**

**(Date)**


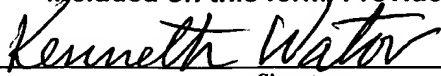
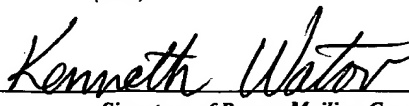
**Kenneth Watov**

***(Typed or Printed Name of Person Mailing Correspondence)***

Kenneth Watov

**(Signature of Person Mailing Correspondence)**

**Note: Each paper must have its own certificate of mailing.**

<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>				Docket No. <b>894.1.008</b>	
Applicant(s): <b>Sumio Yuyama</b>					
Application No. <b>09/630,834</b>	Filing Date <b>08/02/2000</b>	Examiner <b>Cristina O. Sherr</b>	Customer No.	Group Art Unit <b>3621</b>	Confirmation No. <b>8035</b>
Invention: <b>ASSET MANAGEMENT ADVISE SYSTEM AND RECORDING MEDIUM CONTAINING PROGRAM OF THE SYSTEM</b>					
					
Transmitted herewith is an amendment in the above-identified application.					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	30 -	34 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input checked="" type="checkbox"/> A check in the amount of <b>\$60.00</b> to cover the filing fee is enclosed. <i>(RETENTION - EXT. OF TIME)</i> <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>23-0510</b> <input type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>					
 _____ Signature			Dated: <b>September 7, 2006</b>		
<b>Kenneth Watov, Reg. No. 26,042</b> <b>WATOV &amp; KIPNES, P.C.</b> <b>P.O. Box 247</b> <b>Princeton Junction, NJ 08550</b> <b>(609) 243-0330</b>			I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on <b>September 7, 2006</b> _____ (Date)		
			 _____ Signature of Person Mailing Correspondence		
			<b>Kenneth Watov</b> Typed or Printed Name of Person Mailing Correspondence		
CC:					